



1634

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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/920,491	
	Filing Date	July 25, 2001	
	First Named Inventor	Dong	
	Group Art Unit	1634	
	Examiner Name	Gunter	
Total Number of Pages in This Submission	3	Attorney Docket Number	3417

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Remarks		Charge any additional fees required under 37 CFR 1.16 and 1.17 to Account No. 01-0431

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Sandra Wells, Reg. No.- 52,349
Signature	<i>Sandra Wells</i>
Date	12/9/02

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 12/9/02			
Typed or printed name	Lori Garcia		
Signature	<i>Lori Garcia</i>	Date	12/9/02

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AUTHORIZATION OF AGENT,
NOT ACCOMPANYING
APPLICATION**

Application Number	09/920,491
Filing Date	July 31, 2001
First Named Inventor	Dong
Group Art Unit	1634
Examiner Name	Gunter
Attorney Docket Number	3417

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I am the:

☐ Applicant.☒ Assignee of record of the entire interest

Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

Name Barbara A. Caulfield

Signature

Date 12/05/02

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Dong

Application No./Patent No.: 09/920,491 Filed/Issue Date: July 31, 2001

Entitled: Complexity Management of Genomic DNA

Affymetrix, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012291, Frame 0210, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/05/02
Date

Barbara A. Caulfield
Signature

Barbara A. Caulfield

Typed or printed name

Exec. V.P. and General Counsel

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